

US tax status declaration – individual

Use this form to certify whether you **are** or **are not** a United States (US) citizen for tax purposes.

Important: You may be treated as a US taxpayer if you don't provide this information.

This form is required for individuals who have been identified as potentially being a US taxpayer.

Please print in CAPITAL LETTERS and place a cross in any applicable boxes.

1. Personal details

Please provide the plan/policy/member/account number for products you hold with Resolution Life or any other reference number:

Surname

Full given name(s)

Date of birth

Residential address (PO Box is not acceptable)

Suburb

State

Postcode

Country

2. US tax status

Are you a US citizen or resident for tax purposes?

No—go to section 3

Yes—please provide your US Taxpayer Identification Number (TIN) and go to section 4:

3. Supporting evidence

! If you're not a US citizen or resident for tax purposes, additional identification documentation is required to support this status (as you have been identified as having a US address, phone number, place of birth, citizenship or dealings with the US).

Select **one** category that applies to you:

Born outside of the US—complete section 3.1.

Born in the US—complete section 3.2.

3. Supporting evidence (continued)

3.1 Born outside of the US

Please select and send us **one** of the following documents:

- A copy of a current Australian State or Territory Driver's Licence containing your photograph, or
- A copy of an Australian passport that is current or has expired within the preceding two years, or
- A copy of a citizenship certificate issued by a non US Government¹, or
- A copy of a foreign government issued passport (or similar international travel document¹) that contains your photograph and signature.

3.2 Born in the US

Please send us the documents that you've selected in option **A** and **B** below:

Option A

One of these:

- A copy of an Australian passport that is current or has expired within the preceding two years, or
- A copy of a citizenship certificate issued by a non US Government¹, or
- A copy of a foreign government issued passport (or similar international travel document¹) that contains your photograph and signature.

Option B

One of these:

- A copy of your certificate of loss of nationality of the United States, or
- A reasonable explanation why you do not hold a certificate of loss of nationality, or
- A reasonable explanation as to why you did not obtain US citizenship at birth.

¹ Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

3. Supporting evidence (continued)

3.2 Born in the US (continued)

If you selected an option above that requires an explanation, please provide the written explanation here:

4. Declaration

This form must be signed by you (the individual) **or** your financial adviser.

By completing and signing this declaration, I certify that the information I have provided is true and correct.

I agree to notify Resolution Life as soon as possible when my tax residency changes.

Name (Print in CAPITAL LETTERS)

Signature

Date

Financial adviser declaration

By completing and signing this declaration, I certify that the individual named in this form has (verbally or in writing) confirmed (to me) the truth of the information provided and I have no reason to doubt its reasonableness.

AFS Licensee name (Print in CAPITAL LETTERS)

AFSL number

Representative/Employee name

Contact phone number

Mobile number

Signature

Date

5. Checklist

- Have you completed all relevant sections of this form?
- Have you provided a legible copy of the relevant documentation (and any required translation) selected in **supporting evidence** section 3?
- Have you signed and dated the form where indicated?

Where to send this form

Email or mail this completed form to:

askus@resolutionlife.com.au

Within Australia (no stamp required)

Resolution Life Customer Service	Any questions?
Reply Paid 90618	133 731
SYDNEY NSW 2001	

From Overseas

Resolution Life Customer Service	Any questions?
GPO Box 5441	+61 2 7202 0216
Sydney NSW 2001	

Office use only

Internal reference number